

National Yunlin University of Science and Technology Regulations for the Promotion Evaluation of Project Researchers

Passed by the 163rd University Faculty Evaluation Committee on October 27, 2020

Passed by the 177th University Faculty Evaluation Committee on November 9, 2022

Article 1: These regulations are formulated in accordance with the Guidelines for the Management of Project Researchers Funded by the Endowment Fund of National Yunlin University of Science and Technology (hereinafter referred to as YunTech).

Article 2: Members of the YunTech Faculty Evaluation Committee (hereinafter referred to as the Committee) should review each specific achievement or supporting document submitted by each project researcher seeking promotion, and score each item according to these promotion evaluation regulations. The scores certified by the relevant units of YunTech according to the scoring standards set by these promotion evaluation regulations shall be considered as the scores of the Committee members. The calculation of the scores for the two major categories of "Research and Industry-Academia Cooperation" and "Service" listed in these promotion evaluation regulations, as well as the total score for promotion, shall be calculated to the nearest whole number (rounded down), except for the sub-items listed under each category, which are scored according to the proportion or scoring standard (rounded to the nearest tenth).

Article 3: The scoring items and standards for the research and industry-academia cooperation achievements of YunTech's project researchers are as follows:

1. The score for "External Review of Research Achievements" and the distribution ratio of the score for "Research Project Grants, Industry-Academia Achievements, and Other Academic or Industry-Academia Counseling Achievements" during the promotion period within the current rank at YunTech can be chosen from the following three options by the person seeking promotion:

(1) 70%:30%.

(2) 60%:40%.

(3) 50%:50%.

2. External Review of Research Achievements: The score is calculated by multiplying the average score of the external review by the ratio chosen according to the previous item.

3. "Research Project Grants, Industry-Academia Achievements, and Other Academic or Industry-Academia Counseling Achievements" during the promotion period within the current rank at YunTech:

(1) For each Outstanding Research Award received from the National Science and Technology Council, 20 points are awarded.

(2) For each time serving as the principal investigator of the Excellent Young

Scholars Program of the National Science and Technology Council, 5 points are awarded.

(3) For each Type A or Type B Research Award received from the National Science and Technology Council, 3 points are awarded.

(4) For each time serving as the principal investigator of a research project of the National Science and Technology Council, 3 points are awarded.

(5) For each time serving as the principal investigator of a research project and industry-academia cooperation case, 0.4 points are awarded for every 10,000 NTD of management fees allocated in accordance with YunTech's regulations (including the amount allocated for the use of the endowment fund). If the amount is less than 10,000 NTD, points are awarded proportionally. The relative contribution distribution ratio of the co-principal investigators listed in the promotion evaluation proof should be verified by the principal investigator.

(6) For each time serving as the principal investigator of a subsidized project of a public institution that cannot allocate management fees, 1 point is awarded for every 1 million NTD of project funds. If the amount is less than 1 million NTD, points are awarded proportionally. For each time serving as a co-principal investigator of a project of an external unit approved by YunTech's administrative procedures, 1 point is awarded for every 1.5 million NTD of funds according to the project's final financial documents. If the amount is less than 1.5 million NTD, points are awarded proportionally. The relative contribution distribution ratio of the co-principal investigators listed in the promotion evaluation proof should be verified by the principal investigator.

(7) For every 10,000 NTD of the total accumulated amount of technology transfer fees actually received by the school, 0.4 points are awarded. If the amount is less than 10,000 NTD, no points are awarded. For patent licensors, for every 10,000 NTD of the total accumulated amount of licensing fees actually received by the school, 0.6 points are awarded. If the amount is less than 10,000 NTD, no points are awarded. If there are more than two inventors, points are awarded according to the distribution ratio of the licensing fees among the inventors.

(8) Patents: Points are awarded only if YunTech is the patentee.

1. For each domestic invention patent granted, 1 point is awarded. For each design patent granted, 1 point is awarded.

2. For each international patent granted (excluding mainland China), 3 points are awarded.

3. The maximum score is 10 points.

(9) Innovation and Entrepreneurship:

1. For each case where the research results are used as the technology

required for the operation of a startup company, and YunTech owns shares of the company, 5 points are awarded.

2. For each case where the research results are used as the technology required for the operation of a startup company, and the researcher owns shares of the company, 3 points are awarded.

3. For each case of guiding YunTech students or alumni who have graduated within the past 5 years to establish a startup company, 1 point is awarded.

4. The maximum score is 10 points.

The establishment of a startup company must be within 5 years prior to the date of application for promotion, and the company must still be registered. If there are more than two inventors or guiding teachers, points are awarded according to the contribution ratio.

(10) Award Records:

1. Various awards from government units.

2. After preliminary evaluation by the Project Researcher Evaluation Committee of each research center of YunTech (hereinafter referred to as the Center Research Evaluation Committee) or the Faculty Evaluation Committee of each department (hereinafter referred to as the Department Faculty Evaluation Committee), and secondary evaluation by the Faculty Evaluation Committee of each college of YunTech (hereinafter referred to as the College Faculty Evaluation Committee), the Committee members shall evaluate the scores based on the provided documents, with a maximum of 6 points.

(11) Other academic and industry-academia counseling achievements, various competition awards or performances, and other specific excellent achievement or misconduct, after preliminary evaluation by the Center Research Evaluation Committee (or Department Faculty Evaluation Committee) and secondary evaluation by the College Faculty Evaluation Committee, the Committee members shall evaluate the scores based on the provided documents, with a maximum of plus or minus 6 points.

(12) The total score of the above items shall not exceed the chosen ratio.

(13) If there is any doubt about the recognition of each item's score, it shall be uniformly interpreted by the certification unit.

Article 4: Article 4 The scoring items for the service achievements of project researchers during the promotion period within the current rank at YunTech are as follows:

1. The total score for this item is 100 points. The scoring ratio for the Center Research Evaluation Committee (or Department Faculty Evaluation Committee), the College, and the Committee is: Center Research Evaluation Committee (or

Department Faculty Evaluation Committee) 50%, College Faculty Evaluation Committee 20%, Committee 30%.

2. The original scores evaluated by the Center Research Evaluation Committee (or Department Faculty Evaluation Committee) and the College Faculty Evaluation Committee are converted according to the above ratio and counted as the scores of the Center Research Evaluation Committee (or Department Faculty Evaluation Committee) and the College Faculty Evaluation Committee for this item. The scoring regulations of the Center Research Evaluation Committee (or Department Faculty Evaluation Committee) and the College Faculty Evaluation Committee are formulated by each Center Research Evaluation Committee (or Department Faculty Evaluation Committee) and College Faculty Evaluation Committee. The self-determined scores of each Center Research Evaluation Committee (or Department Faculty Evaluation Committee) are based on a full score of 50 points, and the self-determined scores of each college are based on a full score of 20 points. If the scores exceed these limits, they are converted proportionally to the Committee's scores.

3. Scoring principles of the Committee:

(1) For those who have performed well in conducting or assisting in continuing education without any negative records, up to 5 points are awarded.

1. For those who participate in teaching, 0.5 points are awarded for every 20 hours. If the hours exceed or are less than this, points are awarded proportionally. If the course is co-taught, points are awarded according to the hours taught.

2. For each case of conducting education training commissioned by public and private institutions as the principal trainer, 3 points are awarded.

3. For other major efforts to promote continuing education, 2 points are awarded for each case approved by YunTech's Continuing Education Guidance Committee.

(2) For participation in various seminars with good performance or misconduct, after preliminary evaluation by the Center Research Evaluation Committee (or Department Faculty Evaluation Committee) and secondary evaluation by the College Faculty Evaluation Committee, the Committee members shall evaluate the scores based on the provided documents, with a maximum of plus or minus 10 points.

(3) For participation in international certification and general professional certification with good performance or misconduct, after preliminary evaluation by the Center Research Evaluation Committee (or Department Faculty Evaluation Committee) and secondary evaluation by the College Faculty Evaluation Committee, the Committee members shall evaluate the scores based on the provided documents, with a maximum of plus or minus 5 points.

(4) For promoting campus digitalization with good performance or misconduct,

after preliminary evaluation by the Center Research Evaluation Committee (or Department Faculty Evaluation Committee) and re-evaluation by the College Faculty Evaluation Committee, the Committee shall members evaluate the scores based on the provided documents, with a maximum of plus or minus 5 points.

(5) For every 100,000 NTD of the total amount of project researcher fundraising actually received by the school, 0.4 points are awarded. If the amount is less than 100,000 NTD, points are awarded proportionally, with a maximum of 5 points.

(6) Other service or counseling achievements, including participation in related enrollment affairs of YunTech, serving as an advisor or member of a government institution, serving as a director or supervisor of a professional association outside the school, matters that are beneficial to enhancing the reputation of the school, and other excellent service items, or serving as a club advisor at YunTech, serving as a volunteer counseling teacher (Counseling Center, Library, or approved by the school), etc., whether with good performance or misconduct, after preliminary evaluation by the Center Research Evaluation Committee (or Department Faculty Evaluation Committee) and re-evaluation by the College Faculty Evaluation Committee, the Committee members shall evaluate the scores based on the provided documents, with a maximum of plus or minus 6 points.

(7) The maximum total score for the above items is 30 points.

(8) If there is any doubt about the recognition of each item's score, it shall be uniformly interpreted by the certification unit.

Article 5: The assessment of each item's score should be diverse and clear. The distribution standards, certification units, and scoring methods for the assessment items are detailed in the scoring table. The scoring table is formulated by the Office of Research and Development, and the same applies when it is revised.

Article 6: The specific facts cited in the scoring items of these regulations are limited to the facts during the period of current employment at YunTech. All scoring contents must provide specific facts or supporting documents. Those without supporting documents may not be scored.

Article 7: These regulations are implemented after being reviewed and approved by the Committee of YunTech and approved by the President. The same applies when they are revised.